

# **Department of Corrections Governor's FY 2017 Revised and FY 2018 Recommendations**

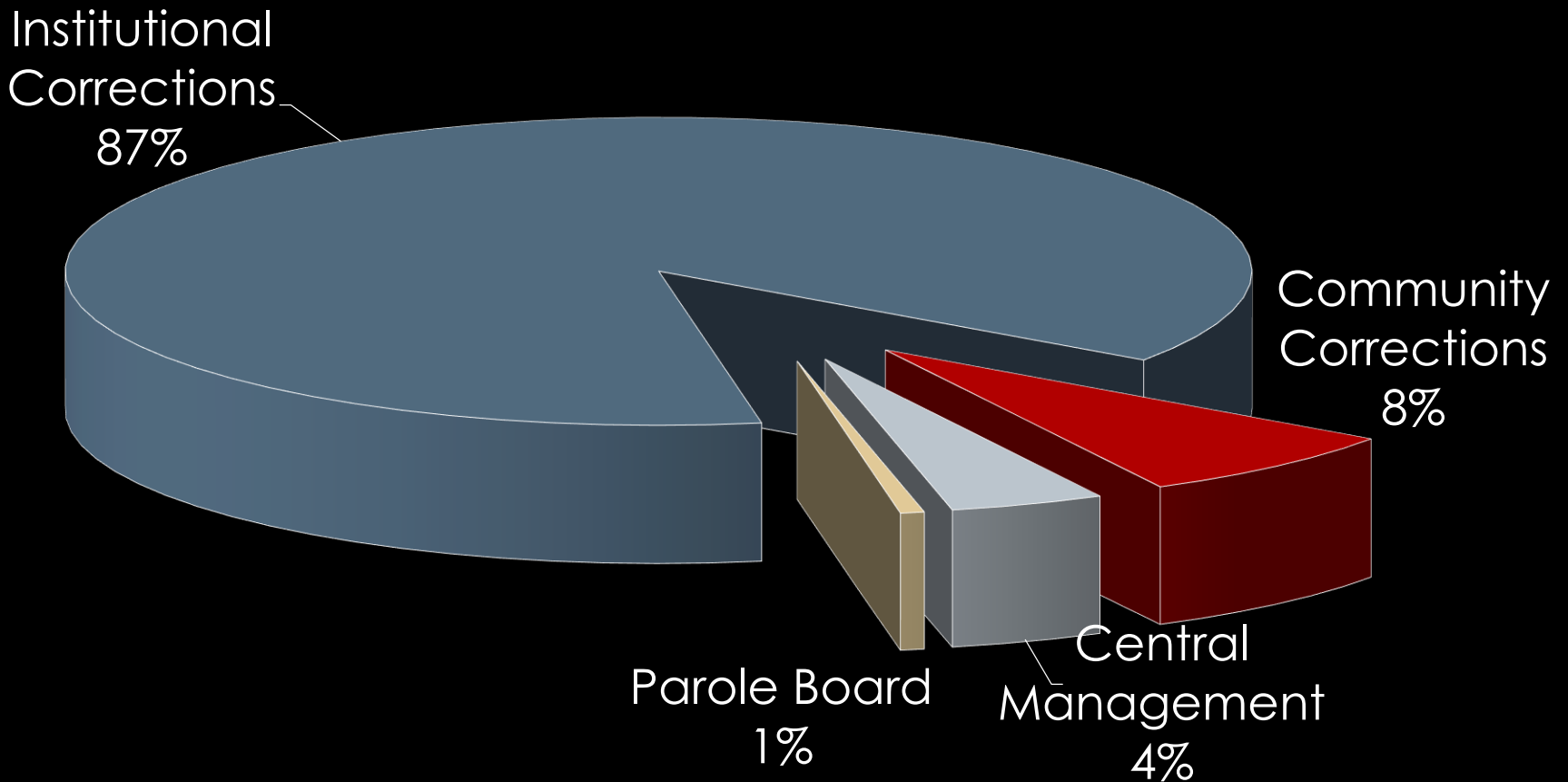
Staff Presentation to the House Finance  
Committee  
March 28, 2017

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# Major Programs

- Institutional Corrections (7 Facilities)
  - Custody & Security
  - Institutional Support
  - Institutional Based Rehab / Population Management Programs
  - Healthcare Services
- Community Corrections
- Central Management
- Parole Board

# FY 2018 By Program



# Summary By Fund Source

Total Budget (In millions)	Enacted	FY 2017 Gov. Rev.	FY 2018 Governor
General Revenues	\$211.7	\$213.3	\$216.8
Federal Funds	1.1	1.9	1.5
Restricted Receipts	0.06	0.1	0.1
Other Funds	11.9	8.0	15.8
<b>Total</b>	<b>\$224.8</b>	<b>\$223.4</b>	<b>\$234.2</b>

# Summary By Fund Source

Change to Enacted (In millions)	FY 2017 Gov. Rev.	FY 2018 Governor
General Revenues	\$1.6	\$5.1
Federal Funds	0.8	0.4
Restricted Receipts	0.04	0.03
Other Funds	(3.9)	3.9
<b>Total</b>	<b>\$(1.4)</b>	<b>\$9.4</b>

# Budget Office Target

- General revenue target of \$196.3 million
  - Current services adjustment of \$1.6 million
  - 8.0% target reduction of \$17.0 million
  - Constrained budget is consistent with the target
- Governor's recommendation is \$20.5 million above target

# Population

- Majority of expenditures are for staff
- Greatest savings occur when population reductions allow for the closure of housing units and staff posts
  - Medium Price facility closed in 2011
  - Double-module closure at Intake Service Center
  - Women's facilities merged in FY 2017

# Population

- Maximum capacity of the prison is governed by the terms of an overcrowding lawsuit in 1995
  - Includes all beds
- Operational capacity includes all beds, except for hospital beds and a third of segregation beds



# Population

- FY 2017 recommendation
  - 3,058 inmates, consistent with request
- FY 2018 recommendation
  - 3,059 inmates, 141 less than enacted
- Through February, average population of 3,003
  - 197 less than enacted
  - 55 less than revised FY 2017
  - 56 less than recommended for FY 2018

# Population

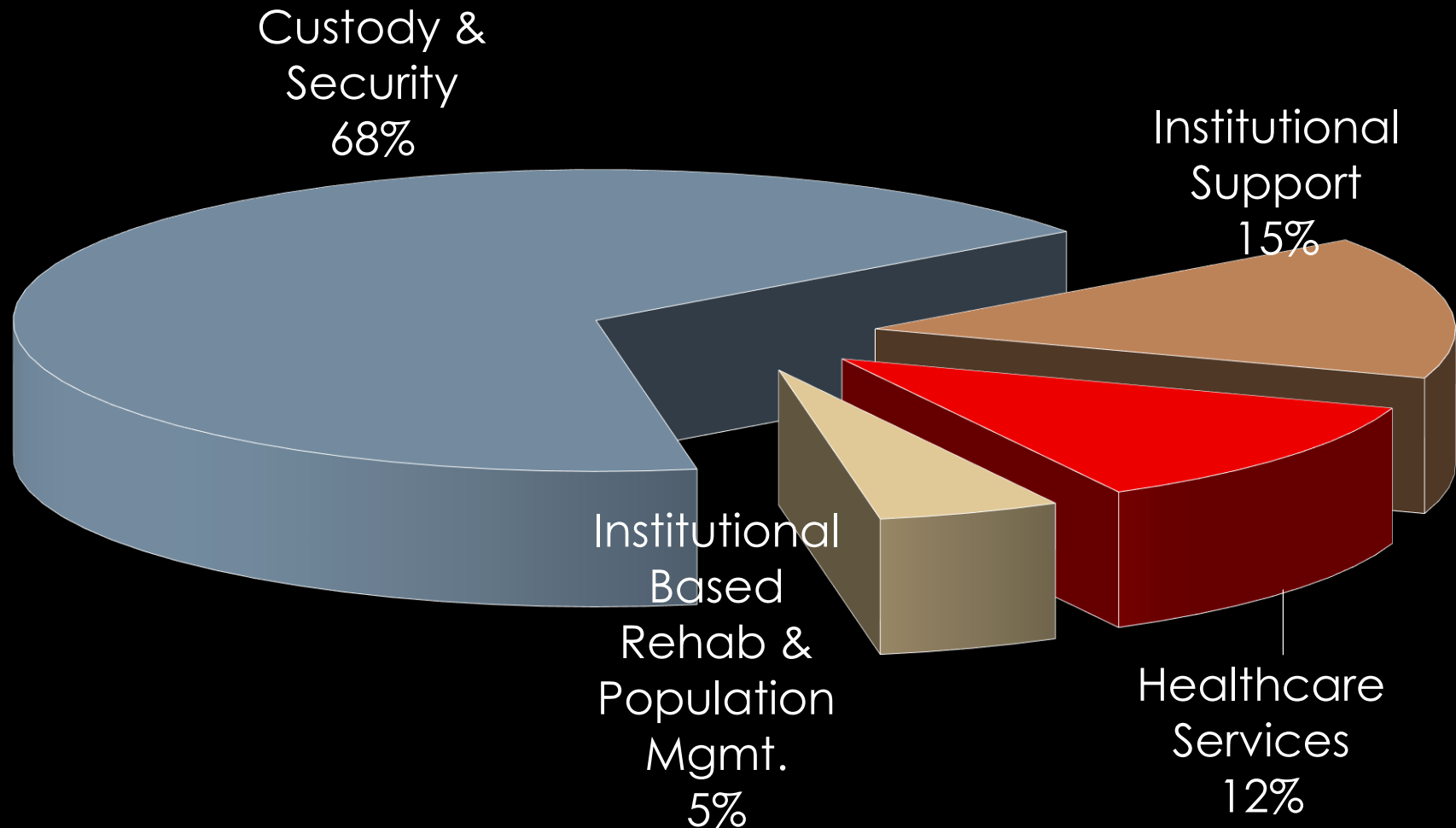
	FY 13	FY 14	FY 15	FY 16	FY 17	FY 18
Request	3,231	3,152	3,170	3,292	3,260	3,059
Gov.	3,231	3,152	3,170	3,292	3,200	3,059
Enacted	3,194	3,152	3,170	3,292	3,200	-
Rev. Req.	3,146	3,192	3,239	3,206	3,058	-
Gov. Rev.	3,146	3,192	3,239	3,183	3,058	-
Final	3,146	3,192	3,239	3,183	-	-
<b>Actual</b>	<b>3,160</b>	<b>3,214</b>	<b>3,183</b>	<b>3,067</b>	-	-

# FY 2017 Population- Feb. 2017

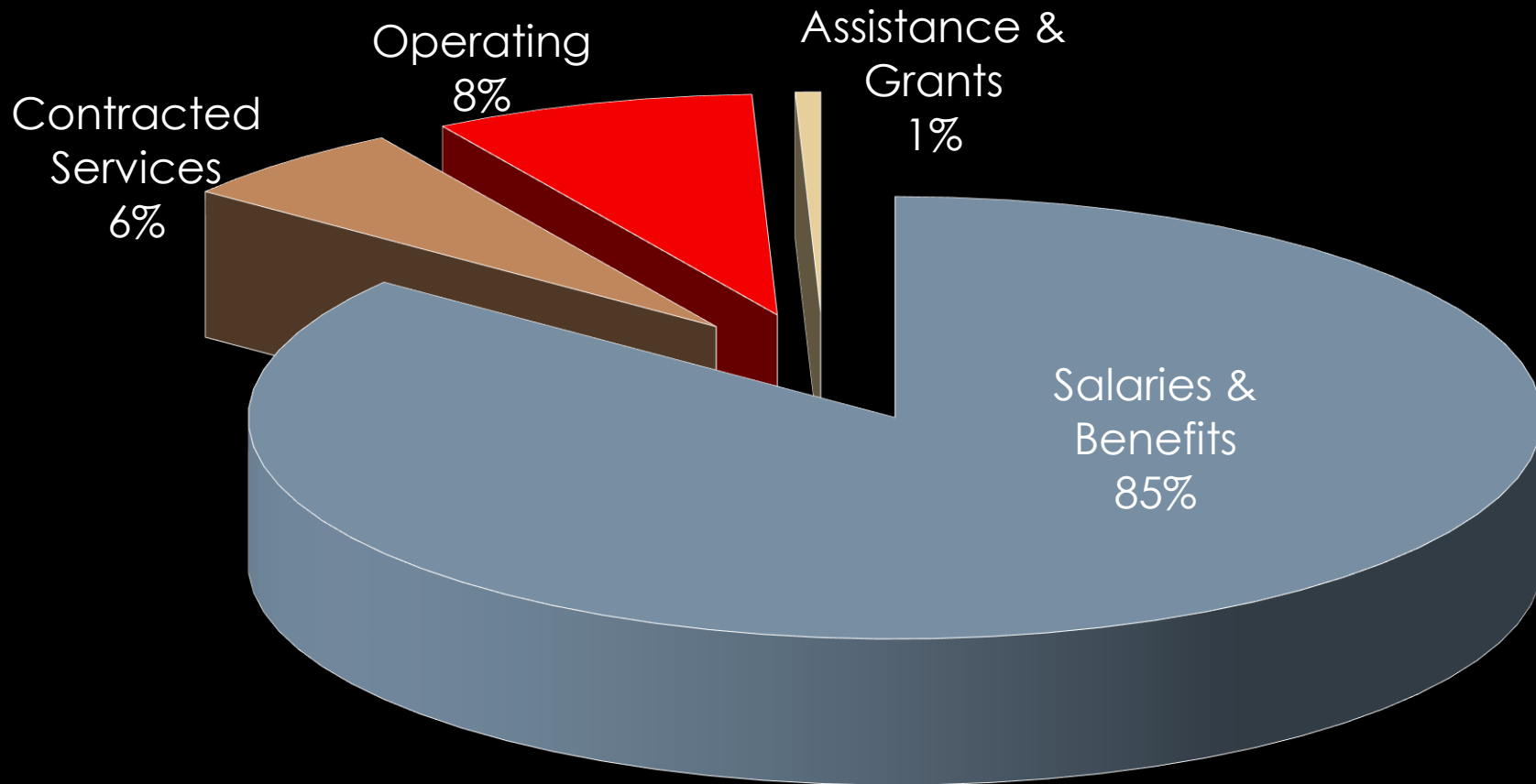
Facility	Average Population	Maximum Capacity	Operating Capacity	Vacant*	Percent Vacant
High	95	166	138	43	31.2%
Maximum	425	466	409	(16)	(4.0)%
Medium	1,110	1,186	1,126	16	2.3%
Intake	900	1,148	1,118	218	19.5%
Minimum	333	710	710	377	57.4%
Women's	139	313	273	134	49.1%
<b>Average</b>	<b>3,002</b>	<b>3,989</b>	<b>3,774</b>	<b>772</b>	<b>25.9%</b>

*\*Vacancy is equal to operating capacity minus average population*

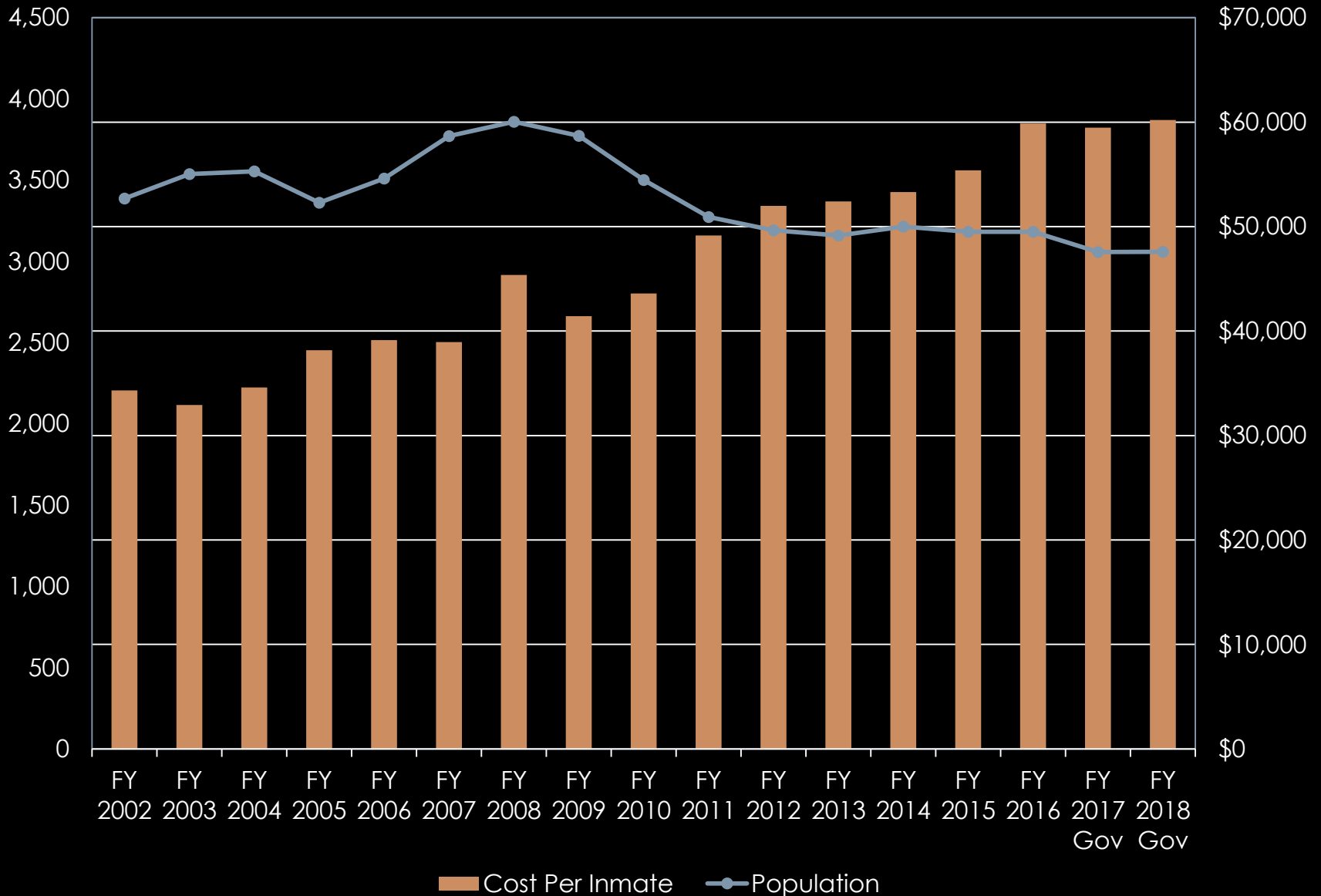
# Institutional Corrections: Functions



# Institutional Corrections: Category of Expenditures



# Total Cost Per Inmate



# Per Diem Cost Per Inmate- Annually

Year	Annual Cost per Inmate	Change to Prior Year
FY 2012	\$4,117	\$436
FY 2013	\$4,026	(\$91)
FY 2014	\$4,202	\$176
FY 2015	\$4,595	\$393
FY 2016	\$5,021	\$426
FY 2017 Enacted	\$4,485	(\$536)
FY 2017 Rev. Req.	\$5,110	\$89
FY 2017 Gov. Rec.	\$5,042	\$21
FY 2018 Request	\$5,160	\$50
FY 2018 Governor	\$5,105	(\$55)

# Gov. Changes to Enacted

General Revenues (in millions)	FY 2017 Rev.	FY 2018
Per Diem Costs	\$1.0	\$1.1
Lab Testing Contract	0.1	0.1
Management of Pre Trial Population	-	0.5
Institutional Corrections Staffing	(0.6)	(0.8)
RIBCO Settlement	3.9	6.7
Recruitment Lawsuit	0.2	-
CO Classes	(1.0)	(0.7)
Other Staffing	0.1	0.4
Mental Health Initiative	-	0.4
Medication Assisted Treatment	(2.0)	(2.0)
Time, Leave & Attendance System	-	(0.4)
<b>Total Changes</b>	<b>\$1.6</b>	<b>\$5.1</b>



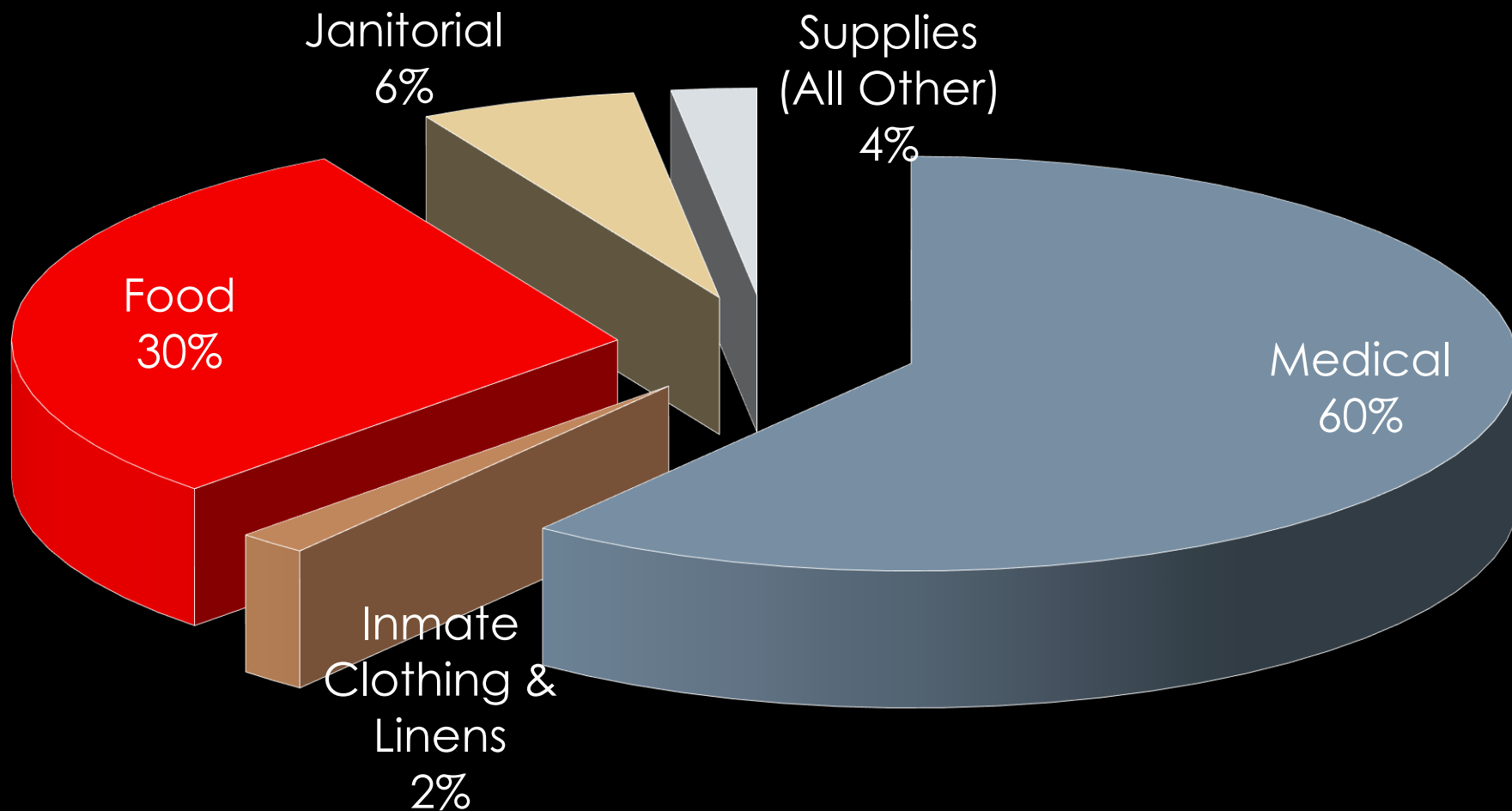
# Per Diem Expenditures

- Population related expenditures that are calculated on a per diem basis, excluding staffing
  - Food, pharmaceuticals, clothes and linens
- 2017 enacted includes
  - \$4,485 per inmate
  - \$14.4 million

# Per Diem Expenditures

- \$15.4 million for FY 2017
  - \$1.0 million more than enacted
    - Based on FY 2016 experience for food, clothing, linens, medical
- \$15.5 million for FY 2018
  - \$1.1 million more than enacted
  - \$0.2 million less than requested
    - Reflects reduction to janitorial supplies and physician services
    - Assumes spending on medical services can be constrained

# Population: FY 2018 Per Diem Costs per Inmate



# Lab Testing

- Eleanor Slater Hospital performed all lab tests for DOC
- BHDDH consultant recommended charging DOC for services to lower hospital costs
  - Eleanor Slater unachieved savings of \$3.5 million in current year
  - Hospital is a fully state funded

# Lab Testing

- Led DOC to issue contract with East Side Laboratories
  - \$60,000 for FY 2017 & \$120,000 for FY 2018
  - Began January 1, 2017; no longer using BHDDH
  - Offers specimen pick-up and interface with electronic medical records

# Management of Pretrial Population

- Executive Order 15-11 established Justice Reinvestment Working Group
  - Charged with examining “investments to break the cycle of crime and incarceration & improve public safety”
  - Focused on providing analyses to assist in providing options in policy changes or practices to create savings, reduce recidivism & increase public safety
  - Final report issued June 2016

# Management of Pretrial Population

- Enacted Budget includes \$1.4 million
  - 4 new probation officers
  - Contracted cognitive behavioral programs for estimated 800 individuals
- Governor's recommendation is \$0.5 million more than enacted
  - Reflects full-year of contract & personnel

# Staffing

## *Full-Time Equivalent Positions*

Full-Time Positions	FTEs	Chg. To Enacted
Enacted Authorized	1,423.0	-
FY 2018 Request	1,423.0	-
FY 2018 Governor	1,426.0	3.0
FY 2016 Average Filled	1,325.3	(97.7)
Filled as of March 18	1,357.0	(66.0)



# Institutional Corrections Staffing

- \$161.4 million for FY 2018 primarily from general revenues
  - \$5.3 million more than enacted & \$5.9 million more than requested
    - \$6.7 million for annualized value of the COLA
    - \$0.9 million for step increases
    - \$2.3 million overtime savings
- \$2.5 million more in FY 2017 revised
  - Cost of living adjustments offset by overtime/statewide savings

# RIBCO Settlement

- Contract with RIBCO resolved Oct. 2016
  - Enacted budget includes \$8.3 million

Effective Date	Pay Raise (%)
July 1, 2012*	2.0
July 1, 2013*	2.0
July 1, 2014	2.0
July 1, 2015	2.0
July 1, 2016	2.0
July 1, 2017	2.25

*\*Retired CO's only*

- Governor recommends
  - \$12.0 million for FY 2017; \$3.9 million more
  - \$14.9 million for FY 2018; \$6.7 million more

# Statewide Savings

- Assessment to salaries that funds workers' comp., unemployment & unused leave
  - Lowered from enacted based on experience
    - Savings of \$1.2 million in FY 2017
    - Savings of \$1.5 million in FY 2018
- Medical benefit cost growth
  - Lower than initial estimates
    - Savings of \$2.6 million in FY 2018
- Total impact to Department
  - \$229,522 in FY 2017; \$823,001 in FY 2018

# Recruitment Lawsuit

- Classes were delayed due to Dept. of Justice ongoing lawsuit
  - FY 2017 revised recommendation adds \$220,220 for costs associated with litigation
  - No costs assumed in FY 2018
- Classes were allowed to resume to address staffing needs
  - CO Class 81 graduated Nov. 2016
  - Class 82 anticipated graduation Nov. 2017

# CO Classes, FY 2017 Revised

- CO Class 81
  - \$1.0 million less than enacted for 50.0 new officers hired in November
    - \$2.4 million to pay new officers; \$0.5 million less than enacted
    - Offset by \$2.9 million in overtime savings; \$0.5 million more than enacted assumed
  - Includes \$0.7 million for operating costs
  - As of Nov. 30, 52.0 officers hired

# CO Classes, FY 2018

- CO Class 82: \$0.5 million
  - \$1.1 million for cost of 24.0 new recruits
  - Offset by \$1.3 million of overtime savings
  - Includes \$0.7 million for class operating expenses
- CO Class 81: \$0.2 million
  - Annualized costs of recruits hired in 2016
  - \$4.4 million for salaries and benefits, offset by \$4.3 million of overtime savings

# Other Staffing

- \$24.4 million for FY 2018 for 218.0 FTEs
  - \$0.4 million more than enacted from general revenues
  - Central management, Parole Board and Community Corrections
  - Excludes pre-trial management program
- FY 2017 revised recommendation is \$60,241 more than enacted
  - Restores turnover equivalent to 0.5 FTE
  - Statewide savings

# Mental Health Initiative

- \$0.4 million for FY 2018
  - 3.0 new FTE: two clinical social workers and a behavioral health administrative assistant
  - Clinical social workers will assist with mentally ill inmates at Maximum and High Security facilities
  - There are currently 11.0 clinical social workers across all facilities
  - Includes funding for additional discharge planning



# Medication/Mediation Assisted Treatment

- Enacted budget includes \$2.0 million to support opioid users in the ACI
- Funding is used to:
  - Screen for opioid use disorders & conduct assessment of new inmates to determine treatment options
  - Start medication-assisted treatment prior to release w/ community referral for ongoing treatment

# Medication/Mediation Assisted Treatment

- Governor shifts \$2.0 million funding to BHDDH in revised FY 2017 and FY 2018
  - Meet federal match on federal substance abuse block grant
    - Only \$1.2 million qualifies for federal match in FY 2017 and \$1.3 million in FY 2018
    - May not be necessary; BHDDH awaiting federal response
  - DOC will continue to administer the program

# Medication/Mediation Assisted Treatment

Expenditures	FY 2017 Est.	FY 2018 Est.
CODAC Contract	\$1,220,000	\$1,270,000
Technology	30,796	-
Software & Licenses	393,205	-
DOC Employees	143,256	632,910
Temporary/Contract Employees	71,847	58,520
Pharmaceuticals	25,855	-
Other	-	3,912
<b>Total</b>	<b>\$1,884,959</b>	<b>\$1,935,342</b>

# Time, Leave and Attendance System

- One of 4 pilot agencies for electronic time-keeping system
- FY 2018 recommendation assumes savings of \$0.4 million
- Will Address:
  - Preventing negative accrual balances
  - Scheduling efficiency/callback list
  - Preventing sick & overtime rule violations
  - Preventing vacation/sick time swaps

# Capital Projects

- 8 capital projects totaling \$84.9 million
  - Nearly consistent with approved plan
    - \$0.5 million more for Gloria McDonald project
    - ISC, Dix, Gloria McDonald renovations extended
  - All funded from Rhode Island Capital Plan funds
- All ongoing projects
  - Funds purchase of body scanner through asset protection project

# Capital Projects (in millions)

Project	Status	5-Year Total	Project Total	End Date
Intake Service Center	Revised	\$7.1	\$8.2	FY 2021
Dix Renovations	Revised	\$2.0	\$4.7	FY 2020
Gloria McDonald	Revised	\$0.2	\$4.1	FY 2018
Asset Protection	Revised	\$18.9	\$36.7	Perpetual
Maximum General Renovations	Ongoing	\$2.2	\$7.8	FY 2020

# Capital Projects (in millions)

Project	Status	5-Year Total	Project Total	End Date
Medium Infrastructure	Ongoing	\$20.3	\$23.2	FY 2020
Correctional Facilities Study	Ongoing	-	\$0.3	FY 2017
<b>Total</b>		<b>\$50.6</b>	<b>\$84.9</b>	

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